



FOR CSO USE ONLY

EQUITY B2C

Form No.	1234567890
Client Code	
DP ID	12085000
Branch Code	
Sub Code	
Ver: 2.8	February 2018


Contact with us

INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1.	KRA Form and Account Opening Form	<p>A. KYC Form - Document captures the basic information about the constituent and an instruction/check list.</p> <p>B. Document captures the additional information about the constituent relevant to Trading/ Demat account and an instruction/check list.</p>	
2.	Tariff sheet	Document detailing the rate/amount of brokerage & other charges levied on the client for trading on stock exchange(s) & DP Service charges/Fee Structure.	
3.	Policies and Procedures	Document describing significant policies and procedures of the stock broker.	
4.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker/Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet/wireless technology based trading.	
5.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
6.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
7.	Rights & Obligations of Beneficial Owners & Depository Participants:	Document stating the Rights & Obligations of Beneficial Owners and Depository Participants.	
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
1.	Running Account Authorisation	Authorisation to maintain Running Account.	
2.	Voluntary Terms & Conditions	Additional terms & conditions specific to clients for the purpose of operational efficiency.	
3.	Power of Attorney for the purpose of settlement / Margin obligation	POA provided by BO to NBS for settlement / Margin purpose.	

IMPORTANT NOTE

1. Signature Types:

FH Signature Client / First Holder	FH Signature of Second Holder	FH Signature of Third Holder	FH Signature of Introducer
FH Signature Client / First Holder	SH Signature of Second Holder	TH Signature of Third Holder	 Signature of Introducer

2. In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature.

IMPORTANT INSTRUCTIONS

- All details to be filled in Capital Block letters in Black / Blue Ink Only.
- Email ID & Mobile number is mandatory for account related passwords and transaction details.
- Corrections in the KYC form should be counter signed.
- Strike off whichever option, in the account opening form, is not applicable
- All Originals to be produced for physical verification.
- If any proof of identity or address is in a regional language, then translation into English is required.
- Sole proprietor must make the application in his individual name & capacity.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.

KYC CHECKLIST (PLEASE TICK WHEREEVER APPROPRIATE)

Acceptable Documents (Copies of all documents to be self attested)

PAN CARD	Account Holder & Joint Holder's (If any)		
Photograph	One colored Front Face Photograph on Photographic Paper (Passport Size)		
Additional Proof of Identity (Any one) (If Pan card not visible OR Pan card sign & signature in KYC form are different)	1. Unique Identification number (UID) (Aadhaar)	<input type="checkbox"/> KRA document used (Email Id & Phone number to be provided on given KRA Form)	
	2. Valid Passport (Name, Address & Photo page)		
	3. Voter ID (front and back)		
	4. Valid Driving License (Name, Address & Photo page)		
	5. PAN Card		
	6. Other 1 (Pls. Specify) _____		
Proof of Address (Any one) Permanent / Correspondence	1. Valid Passport (Name, Address & Photo page)	<input type="checkbox"/> KRA document used KYC Checklist	
	2. Voter ID (front and back)		
	3. Valid Driving License (Name, Address & Photo page)		
	4. Unique Identification number (UID) (Aadhaar)		
	5. Bank Statement/Passbook (not more than 3 months old must contain complete address of client)		
	6. Banker's Verification Letter		
	7. Electricity Bill (not more than 3 months old)		
	8. Resident Landline Tel. Bill (not more than 3 months old)		
	9. Registered Flat Sale Agreement of Residence		
	10. Registered Lease/Leave & License Agreement		
Bank Proof With MICR / IFSC Code (1st Holder only)	1. Bank Statement / Bank Passbook with cheque leaf (not more than 3 months old)		
	2. Banker's Certificate on letter head of the Bank (ORIGINAL)		
	3. Cancelled Personalized Cheque leaf		
Demat Proof (Any One) (1st Holder only)	1. Client Master (CML copy duly attested by DP)		
	2. DP Statement / Transaction cum Holding Statement		
Proof of Income (Any One) (Mandatory for F&O / Currency Segments) (1st Holder only)	1. Copy of ITR Acknowledgement		
	2. In case of salary income - Salary Slip, Copy of Form 16		
	3. Net-worth certificate (Not more than 1 year old)		
	4. Copy of Dmat Account Holding Statement		
	5. Bank Account Statement for last 6 months reflecting income		

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

Client Name				
		First/Sole Holder	Second Holder (if any)	Third Holder (if any)
1.	Are you U.S. Person? (Refer KYC Handout - Customer Copy)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	Specify country of residence for tax purpose (Tax Residency)	<input type="checkbox"/> India <input type="checkbox"/> Other	<input type="checkbox"/> India <input type="checkbox"/> Other	<input type="checkbox"/> India <input type="checkbox"/> Other
3.	Specify country of citizenship	<input type="checkbox"/> India <input type="checkbox"/> Other	<input type="checkbox"/> India <input type="checkbox"/> Other	<input type="checkbox"/> India <input type="checkbox"/> Other

Note: If you are a U.S. person and / or if your tax residency / nationality / citizenship is other than India, then please provide declaration / self certification under FATCA / CRS. This declaration form can be downloaded from <http://www.nidhibroking.com> or call at centralized helpdesk at write to feedback@nidhibroking.com

FH
1/10

(First/Sole Holder Signature)

SH
1/7

(Second Holder Signature)

TH
1/5

(Third Holder Signature)

FIRST HOLDER

KNOW YOUR CLIENT (KYC) | APPLICATION FORM (FOR INDIVIDUALS ONLY)

Application No. :

Please fill in ENGLISH & in BLOCK LETTERS with black/blue ink & tick the appropriate options

A. IDENTITY DETAILS

1. Name of Applicant				<div style="border: 1px solid black; padding: 5px;"> <p style="text-align: center;">PHOTOGRAPH</p> <p>Please affix your recent passport size photograph and sign across it</p> </div>
Father's/ Spouse Name				
2. a. Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female			
b. Date of birth	DD / MM / YYYY	c. Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married	
3. Nationality	<input type="checkbox"/> Indian	4. Status	<input type="checkbox"/> Resident Individual	
5. PAN		Aadhaar		

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6. Specify the proof of identity submitted :

B. ADDRESS DETAILS

1. Correspondence Address			
City/Town/Village		Pin Code	
State		Country	
2. Contact/Mobile No.		Tel (Res.)	
Tel (Off.)		Email ID	

3. Specify the proof of address submitted for Correspondence Address:

4. Permant Address (If different from above correspondence address)			
City/Town/Village		Pin Code	
State		Country	

5. Specify the proof of address submitted for Permanent Address:

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it.

Place		Signature of Applicant FH 3/10
Date	DD / MM / YYYY	

FOR OFFICE USE ONLY

Details of Employee	Documents verified with Originals	Client Interviewed By & In-Person Verification done by	<p>Seal/Stamp of the Intermediary</p>
Name & E Code			
Designation			
Date	DD / MM / YYYY		
Signature			

SECOND HOLDER

KNOW YOUR CLIENT (KYC) | APPLICATION FORM (FOR INDIVIDUALS ONLY)

Application No. :

Please fill in ENGLISH & in BLOCK LETTERS with black/blue ink & tick the appropriate options

A. IDENTITY DETAILS

1. Name of Applicant				<p>PHOTOGRAPH</p> <p>Please affix your recent passport size photograph and sign across it</p>
Father's/ Spouse Name				
2. a. Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female			
b. Date of birth	DD / MM / YYYY	c. Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married	
3. Nationality	<input type="checkbox"/> Indian	4. Status	<input type="checkbox"/> Resident Individual	
5. PAN		Aadhaar		
6. Specify the proof of identity submitted :				SH 2/7 →

B. ADDRESS DETAILS

1. Correspondence Address			
City/Town/Village		Pin Code	
State		Country	
2. Contact/Mobile No.		Tel (Res.)	
Tel (Off.)		Email ID	
3. Specify the proof of address submitted for Correspondence Address:			
4. Permant Address (If different from above correspondence address)			
City/Town/Village		Pin Code	
State		Country	
5. Specify the proof of address submitted for Permanent Address:			

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it.

Place		Signature of Applicant SH 3/7 →
Date	DD / MM / YYYY	

FOR OFFICE USE ONLY

Details of Employee	Documents verified with Originals	Client Interviewed By & In-Person Verification done by	Seal/Stamp of the Intermediary
Name & E Code			
Designation			
Date	DD / MM / YYYY		
Signature			

TRADING & DEMAT ACCOUNT RELATED DETAILS

TYPE OF ACCOUNT : Individuals Sub Status Resident Other

A. BANK ACCOUNT DETAILS

Bank Name & Branch Location:

Account No. Savings Current

MICR CODE IFSC CODE

B. DEPOSITORY ACCOUNT DETAILS (DP1 DETAILS CONSIDRED FOR PAYOUT OF SECURITIES IN FILLED)

	DP 1 Details	DP 2 Details
Depository		<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL
DP Name		
Client Name		
DP ID		
Client ID		

C. TRADING PREFERENCES *Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

Exchange	Segment	Client Signature	
BSE	Cash Fund		<div style="border: 1px solid black; padding: 5px; display: inline-block;"> FH 4/10 </div> Please sign here to select all the segments
BSE	Currency Derivative		

If, in future, the client wants to trade on any New Segment / New Exchange, separate authorization / letter will be taken.

D. PAST ACTIONS

Details of any action / proceedings initiated / pending / taken by SEBI / Stock exchange / any other authority against the applicant / constituent or its Partners / promoters whole time directors / authorized persons in charge of dealing in securities during the last 3 years.

No If yes, please specify

E. INTRODUCER DETAILS

Status of Introducer Sub-broker/AP Employee Existing Client Others (Specify):

Introducer Name : SB/Emp/Client Code:

Address :

Mobile No.:

Signature of the Introducer

F. DEALING THROUGH SUB-BROKERS / AUTHORISED PERSON (AP) / OTHER STOCK BROKERS

If Yes, please specify:

Name of Stock Broker	Name of SB/AP
Name of Exchange	Client Code (as given by other broker)

Details of disputes/dues pending from/to such stock broker/sub-broker:

Whether you are a Member / Sub-broker / AP of any Exchange Yes No

If yes, provide SEBI REGISTRATION NO.

G. STANDING INSTRUCTIONS / OTHER DETAILS / EMAIL-SMS ALERT / CONTRACT NOTE PREFERENCE

Contract Note / Holding & Transaction Statement	<input type="checkbox"/> Electronic <input type="checkbox"/> Physical
Receive Delivery Instruction Slip	<input type="checkbox"/> No <input type="checkbox"/> Yes
Share Email ID with Registrar & Transfer Agent	<input type="checkbox"/> No <input type="checkbox"/> Yes
Receive Annual Report	<input type="checkbox"/> Electronic <input type="checkbox"/> Physical <input type="checkbox"/> Both
DP Account Statement	<input type="checkbox"/> Monthly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Weekly <input type="checkbox"/> As per SEBI Regulation
Declaration for Mobile Number	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent

Note:

- Dividend / Interest will be credited to bank account via ECS.
- In case client doesn't opt for DIS booklet, it would be issued on request at any later date.
- BO can view his ISIN balances, transactions & value portfolio online. To register for Easi please visit [website www.cdslindia.com](http://www.cdslindia.com)

H. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002

Experience	Number of years of Investment / Trading Expenses
Gross Annual Income	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs OR Net Worth In (*Net worth should not be older than 1 year) as on Date DD / MM / YYYY
Occupation (Please tick)	<input type="checkbox"/> Govt Service <input type="checkbox"/> Professional <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Business <input type="checkbox"/> Retired <input type="checkbox"/> Agriculturist <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others _____
Nature of Business	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Services <input type="checkbox"/> Trading <input type="checkbox"/> Consultancy <input type="checkbox"/> Others _____
Is the Client politically Exposed Person (PEP) or Related to a PEP	<input type="checkbox"/> Yes <input type="checkbox"/> No

DECLARATION

I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP/Stock broker any change(s) in the details / particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

I / We have received, read and understood the 'Rights and Obligations Document' for trading and DP Services, 'Risk Disclosure Document', 'Guidance Note' and agree to abide by and be bound by the same and by the laws as are in force from time to time. I/We also confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet. I / We have also informed that the standard set of documents has been displayed for information on stock broker's designated website i.e. www.nidhibroking.com.

FH
5/10

(First/Sole Holder Signature)

SH
4/7

(Second Holder Signature)

TH
2/5

(Third Holder Signature)

Place: _____

Date: DD / MM / YYYY

NOMINEE DETAIL FOR TRADING AND DEMAT ACCOUNT

I/We wish to nominate
 I/We do not wish to nominate

Name			
Address	Same as 1st Holder <input type="checkbox"/> Else fill below details <input type="checkbox"/>		
City		Pin Code	
Pan Card		Tel	
Relationship with Nominee (if any):		Date of Birth	DD / MM / YYYY

A. GUARDIAN DETAILS (If Nominee is a Minor)

Name			
Address			
Tel		Guardian Signature	<div style="display: inline-block; border: 1px solid black; padding: 2px;"> G X </div>

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

<div style="display: inline-block; border: 1px solid black; padding: 2px;"> FH 6/10 </div>	<div style="display: inline-block; border: 1px solid black; padding: 2px;"> SH 5/7 </div>	<div style="display: inline-block; border: 1px solid black; padding: 2px;"> TH 3/5 </div>
(First/Sole Holder Signature)	(Second Holder Signature)	(Third Holder Signature)
Place: _____		Date: DD / MM / YYYY

WITNESSES (ONLY APPLICABLE IN CASE THE ACCOUNT HOLDER HAS MADE NOMINATION)

Name		Name	
Address		Address	
<div style="display: inline-block; border: 1px solid black; padding: 5px;"> FW </div> Signature of First Witness		<div style="display: inline-block; border: 1px solid black; padding: 5px;"> SW </div> Signature of Second Witness	

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(To be filled by Depository Participant)

DP ID	12085000	Client ID	
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Nomination form accepted and registered vide Registration No. : _____

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website. If any, for the information of the clients.

<div style="display: inline-block; border: 1px solid black; padding: 2px;"> X </div>
Signature of Authorised Signatory

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

CHARGES FOR DEPOSITORY SERVICES

Charges Type	<input type="checkbox"/> Annual Maintenance Charge (AMC)	<input type="checkbox"/> Life Time AMC	<input type="checkbox"/> BSDA Account
DP AMC Charges	Rs. 450 (+ Rs. 500 CDSL Charges for corporate account) + Service Tax	If paid one time 2500 + Service Tax then free for life time active with Nidhi	AMC Charges - NIL (Holding Value less than Rs. 50,000/-) & AMC Charges Rs. 100/- + Service Tax if the value of Holding is between Rs. 50001/- to Rs. 200000/-
Franking Charges	Rs. 50/-		
Debit transaction/Pledge creation & Pledge closure/ Debit transaction of client shares from Nidhi beneficiary and Nidhi collateral account	Rs. 20 + Service Tax per ISIN		
Dematerialization	Rs. 20 + Service Tax per certificate and Rs. 30/- per DRF for Postage Charges Plus Rs. 30/- per rejection		
Rematerialization	Actual CDSL Charges + Rs. 30/- per RRF request for postage charges		
Additional DIS Request	Rs. 25.00 per booklet		
Additional Statement	Rs. 25.00 per request		

Note:

1. Brokerage levied to your trading account shall be the higher of brokerage value as per the existing rates or Rs. 30/- per settlement / segment, subject to the maximum rates prescribed by the regulator(s) from time to time.
2. Inter-Settlement charge towards debit transactions of client shares from Nidhi beneficiary & Nidhi collateral account, Rs. 20/- per ISIN would be applicable.
3. KRA charges on actual basis, on account fetching and / or registration from / with KRA authority.
4. Stamp duty, Service tax, Education cess & other statutory levies (if any) will be charged as applicable from time to time.
5. Nidhi Broking Services Pvt. Ltd. reserves the right to increase the tariff charges from time to time at its sole discretion, under 30 days prior intimation to clients either by way of ordinary post or by an email and by notification on the back office interface.
6. For availing 'Easiest' facility of CDSL, The charges as levied by CDSL would be collected from clients at actuals.
7. In case of conversion of BSDA Account into regular demat account by virtue of exceeding of value of holding held in BSDA account beyond the prescribed limit of Rs. 2 lacs, the charges as applicable to normal demat account will be applicable from that date onwards, as per billing cycle which is for one year.

FH
7/10

(First/Sole Holder Signature)

SH
6/7

(Second Holder Signature)

TH
4/5

(Third Holder Signature)

STANDING INSTRUCTION TO MAINTAIN RUNNING ACCOUNT (VOLUNTARY)

I / We request you to maintain my/our accounts for funds and securities on running accounts basis instead of 'bill to bill' settlement basis, unless I/we specifically request you for a payout of available free funds or securities in the account. You may settle the accounts at Monthly/Quarterly (strike off whichever is not applicable, else the option will be considered as Quarterly) or at such other intervals as SEBI/Stock Exchanges may specify from time to time. I / We further authorize you to retain securities and/or funds as may be permitted by Stock Exchanges/SEBI from time to time or towards other unbilled services and/or charges applicable on my account, while settling the accounts. I/We further authorize

you to also retain an amount of up to Rs. 10,000/- (Ten Thousand Only) or any other sum as may be permitted by SEBI / Stock Exchanges from time to time, while settling my / our account. I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. I / We agree that Nidhi shall not be liable for any claim for loss or loss of profit or for any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such securities/funds. The standing instruction/authorization for maintaining my/our account as running account shall remain valid until revoked in writing, addressed to you.

Date: _____

Client Signature

FH
8/10

VOLUNTARY TERMS AND CONDITIONS

Whereas the client intends to open securities trading accounts with Nidhi Broking Services Pvt. Ltd., (hereinafter referred as Nidhi) for the purpose of trading in Capital Market Segment, Bombay Stock Exchange Ltd. and Mutual Fund transactions Facilities offered by SEBI recognized Stock Exchange and whereas for the purpose of more fully and conveniently availing of the services agreed to be provided by Nidhi and also the additional services that may be made available by Nidhi from time to time, the Client, on its own free will and volition, agrees to accept and be bound by the following terms and conditions. The Client understands that these terms and conditions are voluntary i.e. non-mandatory in nature but on their acceptance, these shall constitute the contract between the parties and bind them fully and be enforceable by each party against the other.

- 1. Authorization to debit additional charges with regard to Trading and Demat Account:** Without prejudice to the other rights and obligations of the parties, the client understands and agrees that Nidhi may levy additional charges including Annual Maintenance Charges and all transaction charges with respect to Clients Demat account for any service rendered by Nidhi and as may be required by the Client, and recover from the Client all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the clients ledger account with Nidhi Broking.
- 2. Lien:** All securities, funds and/or properties of the Client as may be permitted by the Exchange(s) from time to time to be placed with Nidhi shall be subject to a lien for the payments or fulfillment of all undischarged liabilities and obligations of the Client in relation to its transactions or owing to any of the group companies of Nidhi. Nidhi shall be entitled to withhold such securities, funds and/or property of the Client as security towards any such un-discharged liabilities or obligation of the Client and to sell and/or appropriate to itself all such securities, funds or properties as its sold discretion & at any point of time.
- 3. Authorization for delivering / pledging shares:** The client understands and agrees that Nidhi may delivery to the Exchange any securities held by it on behalf of the client to discharge settlement obligations in respect of securities sold by the client or pledge the same with the clearing house of the recognized stock exchange in any segment where the Client is registered for trading for the purpose of providing margin for the trading positions contracted or to be contracted by the Client or with any scheduled

commercial bank, Non-Banking Financial Institution or other financial institution for raising funds to the extent account of the client carries debit balance but without any obligation on its part to so raise funds by pledging the securities and without prejudice to the right of Nidhi to enforce, as its option, the collateral security in the securities to recover the debit balance at any time.

- 4. Authorization for Inter segment fund balance transfer and stock transfers:** The client hereby authorizes Nidhi to transfer its debit/credit balances in the ledger account arising during the course of trades in any segment to its ledger account in any other segment or to transfer any stock purchased/lying in its account in any segment to its account in any other segment as often as may be required. The transfers may be completed by passing journal entries in the books of Nidhi.
- 5. Disclaimer:** The Client understands and agrees that neither Nidhi nor any other party disseminating any market data, message or information through the Website of Nidhi or in any other media shall be liable for:
 - (a)** Any inaccuracy, error, omission or delay in the transmission or delivery of any such data, information or message, or
 - (b) Any loss or damage arising from or occasioned by (i)** Any such inaccuracy, error, delay or omission, (ii) Non-performance, or (iii) Interruption in making available any such data, information or message, due to either any act or omission by Nidhi or any disseminating party or to any "force majeure" (e.g. flood, extraordinary weather condition, earthquake or other act of nature, fire, war, insurrection, riot, labour dispute/unrest, accident, action of government, communications or power failure, equipment or software malfunction) or any other cause belong the reasonable control of Nidhi or any disseminating party.
- 6. Manner/Mode of placing orders/instructions & Non-execution/delay/cancellation of Orders:** The Client may communicate orders and other instructions to Nidhi or the sub-broker/authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. The client hereby agrees that Nidhi or the Exchanges shall not be liable for non execution or partial execution of any orders caused due to suspension, interruption, or malfunctioning of the online as

well as offline trading services, disruptions or congestion of communication net works hardware or software problems, or failure of the electronic trading beyond the control of Nidhi or the Exchange.

7. Client not to act on representations of agents, employees: Client is aware that Nidhi has not authorized any agents, representatives, employees or other persons to make any representation, or to give any promise, assurance, warranty, undertaking or commitment as to return on investment of the Client whether in writing or otherwise on behalf of Nidhi.

8. Recording of Conversation: The client is aware and agrees that Nidhi may tape record the conversation between the client/client's representative and Nidhi, whether over the telephone or in person. Nidhi may produce before competent authorities, voluntarily or on such production being required by such authorities recorded conversation or transcript thereof or both as valid evidence of the content of the conversation so recorded.

9. Confidentiality of Client Details: Nidhi may disclose the client information to any person / entity as required under the law or to any broker's Association or organisations in case of dispute in order to take informed decision. The Client hereby agrees and give its consents for the disclosure by Nidhi to any person or tentity including but not limited to any independent third parties or any entities or Nidhi Group, whether within or outside India, of any information and data relating to Client or relating to Client's trading account with Nidhi for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed of or to be availed, by Client in future.

10. Disclosure as to Proprietary Trades by Nidhi: Nidhi may carry out proprietary trades in addition to trades on behalf of its Clients.

11. Severance: in case any one or more of the terms and conditions contained in this document become invalid, illegal or unenforceable in any respect under any applicable law, the validity legally and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.

12. No Waiver: No forbearance, relaxation or inaction by any party to require from the other performance or discharge of any obligation to be performed or discharged by the other under this document shall in any way affect diminish, or prejudice the right of such party to require of the other party at any time such performance or discharge, or performance or discharge of any other obligations under this document or be considered to be a waiver of any rights, unless the waiver is specifically agreed in writing.

13. Notices: All notices or communications issued by Nidhi shall be served on the Client in any one or more or all of the following ways all the ordinary business address and/or ordinary place of residence and/or last known address of the client.

13.1. (a) By ordinary post or (b) By registered post (c) Under certificate of posting or (d) By express delivery post or (e) by SMS or registered mobile or by telephonic call or (f) By affixing it on the door at the last known business or residential address or (g) By oral communication to the party or on the last known telephone number or on the recording machine of such number or (h) By advertising it in

at least one prominent daily newspaper having circulation in the area where the last known business or residential address of the client is situated or (i) By publishing it in the website of Nidhi wherein secured log-id and password to Client is provided or (j) By a notice posted on the notice board of the Exchange if no address be known or (k) By electronic mail or fax or (l) By hand delivery or By Courier or any other mode as may be allowed for communication.

13.2. Notwithstanding anything stated above, communication relating to orders, margins, maintenance calls and other similar matters in the ordinary course of dealings between Nidhi and the Client may be made orally.

14. Electronic Contract Note (ECN):

14.1 Client agree to receive contract notes in Electronic/ Digital Form (ECN) authenticated by means of a digital signature in lieu of Physical Contract notes through e-mail by authorizing Nidhi in this connection and providing the e-mail address(es) at which the Client wishes the ECN to be sent.

14.2 The Client shall access and verify the ECN and all information contained therein, In case of discrepancy the Client, shall inform Nidhi either in writing or via E-mail within reasonable time of the receipt of the same. Nidhi shall also publish the Contract Note on the Web site www.nidhibroking.com or on any other designated location specified by Nidhi from time to time. The Client will be issued a login and password by which the Client can login into his account and view/save/print the ECN. Should the Client experience any difficulty in opening the ECN, Nidhi may, on advice by the Client, make the Contract Note available by any other means (e-mail, electronic mail attachment, or in the form of an available download from the back office web site or by delivery of a hard copy). Client's failure to advice Nidhi of such a difficulty shall amount to valid delivery and viewing of the document by the Client.

15. Electronic Transmission of other Documents: The Client who have opted for ECN agrees that Nidhi may transmit to the Client any statements, documents or intimation including, but not limited to, Margin Statement, Statements of Funds and Securities, margin and maintenance calls & other notices / communications in electronic mode either at the e-mail ID designated for delivery of ECN or to the mobile number of the Client or both and, in case of non receipt of bounced mail/non delivery of SMS notification, Nidhi shall be deemed to have fulfilled his obligation to delivery to the Client such documents. Discrepancies if any in documents should be brought o the notice of Nidhi within reasonable time from insurance failing which the documents shall be deemed to be true and correct record of transactions stated therein.

16. Electronic Payment Gateway for Net Banking Services: Nidhi may provide on its internet trading website, without additional cost to the Client, access to Electronic Payment Gateways provided by various banks for facilitating transfer of funds from Client's bank account to the account of the Client with Nidhi. Client understands that Nidhi is only providing access to the electronic fund transfer facility provided by the banker of the Client through Nidhi website by means of an interface and is not liable or responsible for the proper functioning or otherwise of the Gateway or for any transaction errors, losses, malfunctioning or hacking of the system by unscrupulous elements, frauds, and/or any incidental or consequential claims arising thereout. Client undertakes not to make

Nidhi a party to any litigation, claim, dispute, difference or complaint that the Client may initiate in respect of, arising out of or in connection with any transactions on the Gateway and agrees that Nidhi's liability shall at all time be limited to the amount actually received in its account by electronic transfer from Client's account with the Bank.

17. Internet / Wireless Technology based Trading facility:

17.1. The Client can route its orders to Nidhi over the internet/mobile/laptop with data card or any other devices which use internet protocol for purchasing, selling and dealing in securities. The Client may avail of such Trading facility provided by Nidhi by complying with the formalities prescribed therefore.

17.2. Non-usage of Internet/Wireless Trading Facility: If the Client does not use the Internet/Wireless Trading Facility for a continuous period of 3 months or such other period as Nidhi may notify, the facility may be deactivated without notice and the Client shall comply with the prescribed formalities for reactivating the facility. Trades can, however, be executed at all time by placing orders off-line with the concerned branch of Nidhi.

17.3. The client understands and agrees that Nidhi has different product of the Internet Trading/Wireless Trading software which have been approved by the Exchanges and the client shall be allotted such product as may be chosen by him. The client also understands and agrees that depending on the trading activity of the client, Nidhi shall have the exclusive right and liberty to change the product version allotted to the client and allot a different product version of th Internet Trading/Wireless Trading facility.

17.4. Orders of Client subject to review by Nidhi: The Client agrees that the Nidhi may, on being suspicious of any of the transactions, review any order placed by a Client, which may cause delays in the processing of the Client's order or may result in rejection of such order."

18. Extra Ordinary Events and termination/suspension of trading facility: Nidhi will not be liable for losses caused directly or indirectly by government restriction, Exchange rulings, suspension of trading, computer, communication, telephone or system failure, war, earthquakes, flood, accident, power failure, equipment or software malfunction, lack of connectivity, congestion or disruption of communication network or links, software glitches or corruption, low processing speed, strikes or any other conditions beyond Nidhi control resulting in non-execution, partial or incomplete execution of orders and the resulting financial loss, if any Nidhi may at any time terminate, discontinue or temporarily suspend trading facility provided to the Client in the event of any such extraordinary event occurring without giving prior notice to the Client.

19. Amendments to the terms and conditions: Nidhi reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying or varying the provisions thereof by giving 15 days notice to the Client. In the event where the client has not objected to revised terms and conditions within 15 days of receiving the

notification, the same shall be binding on the client.

21. Parties agree that all claims, differences or disputes between them, arising out of or in relation to this agreement, any contracts, dealings and transactions made subject to the By laws, Rules and Regulations of the Exchanges shall be submitted to arbitration for resolution in accordance with the provisions of the Arbitration and Conciliation Act, 1996 and the Bylaws and Regulations of the concerned Exchange. Provided, however, that recourse shall not be had to the arbitration, the grievance redressal mechanisms or to the investor protection schemes of the Exchanges or SEBI where the claims, differences or disputes exclusively arise out of or relate to any contracts entered into; transactions carried out; schemes, leagues or competition joined; other arrangements or understandings reached or relations established by the client with a group concern or associate of the Stock Broker or any third parties and to which the By laws, Rules and Regulations of the Exchange are not attracted and the Client understands and agrees that any application for invoking the arbitration/grievance redressal mechanisms or investor protection schemes of the Exchange in relation to any such dispute, claims or differences shall be liable to be dismissed.

I / We hereby confirm to have read an understood the terms and conditions as mentioned above and agree to abide by the same.

Date: _____

Client Signature

FH
9/10

POWER OF ATTORNEY - POA (VOLUNTARY)

DULY STAMPED

TO ALL TO WHOM THESE PRESENTS SHALL COME I/We _____, (name of the BO with full address) Indian inhabitant/Non-resident SEND GREETINGS.

Whereas I/we hold a Beneficiary account no _____ (BO-ID) with Central Depository Services (India) Limited with Nidhi Broking Services Pvt. Ltd. (a Depository Participant registered with CDSL) bearing DP-ID 12085000.

And Whereas I/we are registered as a client with Nidhi Broking Services Pvt. Ltd. (trading member of Bombay Stock Exchange Ltd.

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/We THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT M/s Nidhi Broking Services Pvt. Ltd., as my/our true and lawful attorney (hereinafter referred to as the Attorney) for me/us and on my/our behalf and in my/our name to do the following:

1. To debit my/our aforesaid beneficiary account and to transfer securities there-out for the purpose of delivering/pledging the same to the clearing corporation of the recognized stock exchange in any segment to discharge my/our settlement obligations in respect of securities sold by me/us or for the purpose of providing margins in respect of the trading positions taken up by me/us. A list of the Demat accounts of my/our Attorney where securities can be transferred in exercise of the power conferred herein is specified in Schedule-I to this PoA. My attorney may amend the Schedule by adding / deleting demat account(s) / from the list with prior intimation to me / us in writing of the particulars of the demat account(s) so added or deleted.
2. On my/our instructions to debit my/our aforesaid beneficiary account and to transfer securities thereout, by way off-market transaction to the specified beneficiary account(s) of the related parties as may be intimated in writing by me / us from time to time.
3. To apply for and subscribe to on my/our instruction, to various products like Mutual Funds, Initial Public Offerings, Public Issues (shares as well as debentures), tendering shares in open offers, Rights etc., including redemption where applicable, through online/offline platform and to perform do undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligations in connection therewith.
4. We authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs, Mutual Funds and other products by way of short messaging services or e-mails whenever such transactions are carried out on my/our behalf.
5. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
6. I/We do hereby rectify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or unauthorised and also all lawful acts, deeds, matters and things done by the said Attorney of th nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise of any power herein.
7. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power of Attorney shall continue in full force and effect until my/our Attorney shall receive written notice of revocation thereof signed by me/us or in the event of termination thereof by my/our death, until my/our Attorney shall have received actual notice thereof and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by my/our Attorney prior to the actual receipt of the Attorney of the notice of such revocation or termination, as above provided further without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far as any transaction settlement of such is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Attorney. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my/our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.

FH
10/10

(First/Sole Holder Signature)

SH
7/7

(Second Holder Signature)

TH
5/5

(Third Holder Signature)

Signature of Witness FH
8/10

Name: _____

I/We accept (For Nidhi Broking Services Pvt. Ltd.)

Address: _____

Authorised Signatory : _____

Date: _____